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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. END20030132US1

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

37945

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

17548 USPTO  
10/76834



012904

Sir:

Transmitted herewith for filing is the patent application of Inventor(s):

ROBERT LEE ANGELL

For: **INCREMENTAL COMPLIANCE ENVIRONMENT, AN ENTERPRISE-WIDE SYSTEM  
FOR DETECTING FRAUD**

Assignee Name: International Business Machines Corporation  
Assignee Residence: Armonk, New York

Enclosed are also:

28 Pages of Specification including an Abstract  
 5 Pages of Claims ( Claims 1 - 25 )  
 6 Sheet(s) of formal drawings  
 A Declaration and Power of Attorney  
 Form PTO 1595, in duplicate, and assignment of the invention to IBM Corporation  
 Information Disclosure Statement  
 Form PTO 1449 and References AD-AE

## CLAIMS AS FILED

FOR	Number Filed	Number Extra	Rate	Basic Fee (\$770)
Total Claims	25	-20 =	5	X \$ 18 = \$90
Independent Claims	3	-3 =	0	X \$ 86 = \$0
Multiple Dependent Claims	0		X \$290	= \$0
<b>Total Filing Fee</b>				<b>= \$860</b>

Please charge \$860.00 to IBM Corporation, Deposit Account No. 09-0457.  
 The Commissioner is hereby authorized to charge payment of the following fees associated with the communication or credit any over payment to IBM Corporation, Deposit Account No. 09-0457. A duplicate copy of this sheet is enclosed.  
 Any additional filing fees required under 37 CFR § 1.16.  
 Any patent application processing fees under 37 CFR § 1.17.

Respectfully,

*John Pivnichny*

John R. Pivnichny  
Reg. No. 43,001  
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**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

"Express Mail" mailing label number EV 342660651 US. Date of deposit 1/29/2004.

I hereby certify that the papers or fees listed below are being deposited with the United States Postal Service by "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Bethany Fitzpatrick

ENCLOSED are the following documents, being sent by "Express Mail":

1. Transmittal Letter in duplicate;
2. Recordation in duplicate;
3. Assignment (1);
4. Declaration and Power of Attorney (1);
5. Patent Application ( 28 pgs. Spec. & abstract, 5 pgs. Claims 1-25 );
6. 6 pages of formal drawings;
7. Information Disclosure Statement;
8. Form PTO 1449;
9. References AD-AE; and
10. Two return postcards.